

| | IN THE UNITED STATES PATEN | T AND TRADEMARK OFFICE | | | | |
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| In an Electent | Application of |) | | | | |
| Shinichi NISI | HIKAWA | Group Art Unit: 1731 | | | | |
| Application N | No.: 09/931,242 | Examiner: Unassigned | | | | |
| Filed: Augus | st 17, 2001 | Examiner: Unassigned RECEIVED | | | | |
| | HOD OF MANUFACTURING RO GLASS OPTICAL ELEMENT | RECEIVE NOV 2 3 2001 TC 1700 | | | | |
| Assistant Cor Washington, | INFORMATION DISCLO TRANSMITTA nmissioner for Patents D.C. 20231 | DSURE STATEMENT | | | | |
| Sir: | | | | | | |
| | osed is an Information Disclosure States ied patent application. | ment and accompanying form PTO-1449 for the | | | | |
| [X] | No additional fee for submission of | an IDS is required. | | | | |
| [] | The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed. | | | | | |
| [] | A certification under 37 C.F.R. § 1. | 97(e) is also enclosed. | | | | |
| [] | A certification under 37 C.F.R. § 1. in 37 C.F.R. § 1.17(p) are also encl | 97(e), and the fee of \$180.00 (126) as set forth osed. | | | | |
| [] | Charge \$ to Deposit A | account No. 02-4800 for the fee due. | | | | |

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

A check in the amount of \$_____ is enclosed for the fee due.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: November 20, 2001

Douglas H. Pearson Registration No. 47,851

#3/1-21-01

Attorney's Docket No. 018656-240

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of

NOV 2 0 2001

Statichi NISHIKAWA

Statichi NISHIKAWA

Group Art Unit: 1731

Examiner: Unassigned

Filed: August 17, 2001

For: METHOD OF MANUFACTURING
MICRO GLASS OPTICAL ELEMENT

NOV 2 3 2001

TC 1700 INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 5,626,641;

U.S. Patent No. 5,709,723; and

U.S. Patent No. 5,738,701.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Information Disclosure Statement Application No. <u>09/931,242</u> Attorney's Docket No. <u>018656-240</u> Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Douglas H. Pearson
Registration No. 47,851

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: November 20, 2001

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE OSTATEMENT BY APPLICANT

| ATTORNEY'S DKT No. 018656-240 | APPLICATION No. 09/931,242 | | | |
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| APPLICANT Shinichi NISHIKAWA | | | | |
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| TIENTE | IACE NU.S. Patent D | ocument | | <u> </u> | | | · | ii |
| Examiner Initials | Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | | | Date of Publication (MM-DD-YYYY) | | |
| | 5,626,641 | | Yonemoto et al. | | | 05/06/199 | 97 | |
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| | 5,738,701 | | lkeuchi et al. | | | 04/14/199 | 98 | |
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| Examiner Initials | item (book | i, magazine, jou | ırnal, serial, symposium, c publisher, city and/or c | ountry where p | ublished | e(s), volume-issue nam. . | | |
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| Examiner Signature | | | | Date Considered | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.